

Senniger, Powers, Leavitt & Roedel

Attorneys at Law

Patents, Trademarks, Copyrights,
Technology Law, Antitrust, Litigation

One Metropolitan Square, 16th Floor
Saint Louis, Missouri 63102

Telephone: 314-231-5400
Facsimile: 314-231-4342

www.senniger.com

Derick E. Allen

September 17, 2003

UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))



Attorney Docket Number: PHA 3101.5 (3422/3)
First Named Inventor: Dennis P. Phillion
Title: α -Haloenamine Reagents

Express Mail Label Number: EV 272755050 US

TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 40]
4. ☐ Drawings [Total Sheets]
5. Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☒ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

17439 U.S. PTO
09/17/03

FEE TRANSMITTAL

Application Number
Filing Date
Confirmation No.
Inventor(s) Dennis P. Phillion
Group Art Unit
Examiner Name
Attorney Docket Number PHA 3101.5 (3422/3)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☒ BASIC FILING FEE Subtotal (1) \$ 750.00
(Type: utility)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
Total Claims 20
Independent Claims 1
Multiple Dependent Claims _____
3. ☐ ADDITIONAL FEES Subtotal (3) \$ _____
☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 750.00

Derick E. Allen
Derick E. Allen, Reg. No. 43,468

Sept 17, 2003
Date

DEA/skd
Express Mail Label No. EV 272755050 US

Commissioner for Patents

September 17, 2003

Page 2

6. ☒ Incorporation By Reference
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/O8A ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is
attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

Commissioner for Patents
September 17, 2003
Page 2

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☒ Continuation ☐ Divisional ☐ Continuation-in-Part of prior U.S. Patent application No.: 10/061,617 filed on February 1, 2002, which claims priority from U.S. Provisional Application NO. 60/316,151 filed on August 30, 2001.

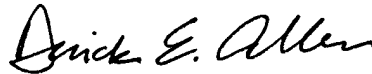
☐ Complete Application based on provisional Application No. _____

Prior application information: Examiner: Shailendra Kumar
Group Art Unit: 1621

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Derick E. Allen

Respectfully submitted,



Derick E. Allen, Reg. No. 43,468

DEA/skd